

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

John C. Harvey and James W. Cuddihy

Examiner:

Serial No.

To be Accorded

Group Unit:

Filed

Herewith

Atty Dkt: 5634.121

For SIGNAL PROCESSING APPARATUS AND METHODS

PRELIMINARY AMENDMENT

Honorable Commissioner of Patents and Trademarks Washington, D.C. 20231

Sir:

Prior to initiating the Examination of the above-described continuation application, Applicants herewith submit the following Preliminary Amendment.

IN THE CLAIMS

Please cancel claim 1 and add the following claim:

A method of processing signals at a remote site based on a broadcast or cablecast transmission including:

2 Capiecast transmission including

(a) the step of storing a subscriber datum at a computer at said remote site, said subscriber datum being one of the group:

(1) an investment datum;

(2) an financial datum;